MEMORANDUM

TO: CFA

FROM: Steering Committee

RE: Research Misconduct

DATE: September 2018

Background: The General Counsel has issued an interim policy on <u>research misconduct</u>.

Charge: Steering asks CFA to read and provide comments on the research misconduct policy. If concerns arise beyond those which can be understood after consulting with the General Counsel, CFA may seek input from the Faculty Senate, the Council of Deans, Academic Leaders, and other individuals and offices deemed appropriate by CFA. Based on that input, CFA may recommend that Tier II Testimony be sought during Spring 2019. Such a recommendation should be accompanied with notation of specific concerns. In the absence of such a recommendation the final review of this interim policy is due December 2018.

Timeline: CFA should begin work immediately on the charge, with the goal of completing a review by December 2018.

TCNJ Governance Processes

Step 1-Steering issues a charge

Step 2-Governance prepares a Preliminary Recommendation

Once the appropriate standing committee or council has received the charge, it should start by collecting data needed to make a preliminary recommendation. It should receive input from affected individuals and all relevant stakeholder groups prior to making a preliminary recommendation. For issues that have broad implications or that affect a large number of individuals, initial testimony should be solicited from the campus community at large. For some issues, sufficient initial testimony may come from input through committee membership or solicitation from targeted constituent groups. When, in the best judgment of the committee, adequate clarity of the principles contributing to the problem are known, a preliminary recommendation should be drafted and disseminated to the campus community.

Step 3—The Relevant Stakeholders provide Testimony

Once a preliminary recommendation has been completed, the standing committee or council should seek testimony from the campus community. The testimony should be gathered in accordance with the Testimony Tier (see below) assigned to the issue by Steering. For issues that require public testimony from the campus community, the chair of the standing committee or council should approach the president of the appropriate representative bodies to schedule the next available time slot at a meeting of that body.

Testimony should be gathered in a way that allows stakeholders to weigh in fully on the issue. Members of the standing committee or council that wrote the preliminary recommendation should be present to hear and record the testimony.

Step 4–Governance prepares a Final Recommendation

Once the standing committee or council has received appropriate testimony, it should revise the preliminary recommendation into a final recommendation. Once the final recommendation is complete, the standing committee or council should use sound judgment to determine whether or not more public testimony is required. If, in its feedback to the original preliminary recommendation, a stakeholder representative body requests to review an issue again, the committee or council is bound to bring it back to that body. If a full calendar year has passed since the formal announcement of the preliminary recommendation, the committee must re-submit a preliminary recommendation to the campus community. When the committee or council has completed the final recommendation, it should forward it to the Steering Committee. The final recommendation should be accompanied by a cover memo that summarizes the initial charge, how testimony was gathered and the nature of that testimony, and how the committee responded to that testimony, including a description of how the preliminary recommendation evolved as a result of testimony.

Step 5—Steering considers the Final Recommendation

Step 6-The Provost and/or President and Board consider the Final Recommendation

Step 7—Steering notifies the Campus Community Testimony

For a complete description of all steps and of the testimony tiers, see Governance Structures and Processes, 2017 Revision, pages 21–24.